

Algorithms for Algebraic and Arithmetic Attributes of Hypergeometric Functions

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Overview

1. Introduction and Motivation
2. Valuation of Hypergeometric Series
3. Annihilating Polynomials of Hypergeometric Functions modulo Primes

Introduction

A **hypergeometric function** with **top parameters** $\alpha = (\alpha_1, \dots, \alpha_n) \in \mathbb{Q}^n$ and **bottom parameters** $\beta = (\beta_1, \dots, \beta_m) \in \mathbb{Q}^m$ is defined as the power series

$$h(x) = \mathcal{H} \left[\begin{matrix} \alpha \\ \beta \end{matrix}; x \right] := \sum_{k=0}^{\infty} \frac{(\alpha_1)_k \cdots (\alpha_n)_k}{(\beta_1)_k \cdots (\beta_m)_k} \cdot x^k \in \mathbb{Q}[[x]],$$

where $(\gamma)_k := \gamma(\gamma+1)\cdots(\gamma+k-1)$ denotes the **rising factorial**.

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It satisfies the differential equation

$$x(\theta + \alpha_1) \cdots (\theta + \alpha_n)(\theta + 1)F(x) = \theta(\theta + \beta_1 - 1) \cdots (\theta + \beta_m - 1)F(x) \quad \left(\theta = x \frac{d}{dx} \right).$$

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Classically, one requires $\beta_m = 1$ and writes

$$F(x) = {}_nF_{m-1} \left[\begin{matrix} \alpha_1, \dots, \alpha_n \\ \beta_1, \dots, \beta_{m-1} \end{matrix}; x \right].$$

Motivation

Hypergeometric functions are ideal test cases for many conjectures about algebraic and arithmetic properties of power series that satisfy a differential equation. For example:

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To test the last conjecture on hypergeometric functions, it is necessary to

- find the set of prime numbers appearing in the denominators of the series,
- decide if their reductions modulo primes are algebraic, and
- find minimal polynomials of hypergeometric functions modulo prime numbers.

State of the Art: Valuations

Theorem (Christol 1986)

Let $h(x) = \mathcal{H}\left[\begin{smallmatrix} \alpha_1, \dots, \alpha_n \\ \beta_1, \dots, \beta_m \end{smallmatrix}; x\right]$, denote by N the least common denominator of all parameters.

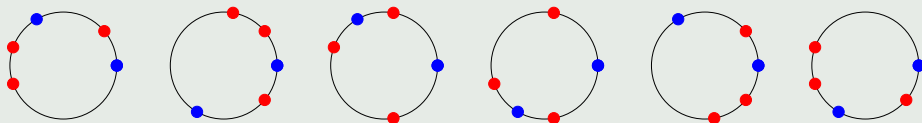
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Draw the sets $\{\exp(2\pi i \lambda \alpha_j)\}$ in **red** and $\{\exp(2\pi i \lambda \beta_j)\}$ in **blue** on the unit circle for all $1 \leq \lambda \leq N$ with $\gcd(\lambda, N) = 1$. Then the coefficients of $h(x)$ only have finitely many primes in the denominator if and only if there are always at least as many **red** as **blue** points going counter-clockwise starting after 1 (counted with multiplicity).

Example ($\mathcal{H}([1/9, 4/9, 5/9], [1/3, 1, 1]; x)$)



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Algorithm (Caruso – F.)

Given a hypergeometric function $h(x)$, and any prime number p , decides if p appears in the denominators of the coefficients of $h(x)$, and outputs the highest powers of the p by which any denominator is divisible.

Valuations

Let p be a prime. The **valuation** of $h(x) = \sum_{k \geq 0} h_k x^k \in \mathbb{Q}[[x]]$ is defined as

$$\mathrm{val}_{p,0}(h(x)) = \min_{k \geq 0} \mathrm{val}_p h_k \quad .$$

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Extension of the Problem

Given a prime p , a number v , and parameters α, β , determine

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Idea: Everything is determined by the relative position of the parameters modulo p^r for all r .

Our Algorithm in Action

Let us compute $\text{val}_{p,0} \left(\mathcal{H} \left[\begin{smallmatrix} \frac{1}{3}, \frac{4}{3} \\ \frac{2}{3}, 1 \end{smallmatrix}; x \right] \right)$ for $p = 11$.

$$w_r(k+1) = w_r(k) + |\{j : k + \alpha_j \equiv 0 \pmod{p^r}\}| - |\{j : k + \beta_j \equiv 0 \pmod{p^r}\}|.$$

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The function w_r is p^r -periodic. Let $\xi_{r,i} \in \mathbb{N}$ be the sorted jump points of w_r .

r even

$$\begin{aligned} \xi_{r,0} &= 0, & \xi_{r,1} &= \frac{p^r-1}{3}, \\ \xi_{r,2} &= \frac{p^r+2}{3}, & \xi_{r,3} &= \frac{2p^r+1}{3} \end{aligned}$$

$$w_r(k) = \begin{cases} 0 & \text{if } k \in [0, \xi_{r,1}) \\ 1 & \text{if } k \in [\xi_{r,1}, \xi_{r,2}) \\ 2 & \text{if } k \in [\xi_{r,2}, \xi_{r,3}) \\ 1 & \text{if } k \in [\xi_{r,3}, p^r) \end{cases}.$$

r odd

$$\begin{aligned} \xi_{r,0} &= 0, & \xi_{r,1} &= \frac{p^r+1}{3}, \\ \xi_{r,2} &= \frac{2p^r-2}{3}, & \xi_{r,3} &= \frac{2p^r+2}{3} \end{aligned}$$

$$w_r(k) = \begin{cases} 0 & \text{if } k \in [0, \xi_{r,1}) \\ -1 & \text{if } k \in [\xi_{r,1}, \xi_{r,2}) \\ 0 & \text{if } k \in [\xi_{r,2}, \xi_{r,3}) \\ 1 & \text{if } k \in [\xi_{r,3}, p^r) \end{cases}.$$

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$$T_0 := \begin{pmatrix} 0 & +\infty & 2 & 1 \\ 0 & +\infty & 2 & 1 \\ 0 & 1 & 2 & 1 \\ 0 & +\infty & 2 & 1 \end{pmatrix} \quad T_1 := \begin{pmatrix} 0 & -1 & +\infty & 1 \\ 0 & -1 & +\infty & 1 \\ 0 & -1 & 1 & 1 \\ 0 & -1 & +\infty & 1 \end{pmatrix}.$$

We set $T := T_0 \odot T_1$, and $T^+ := T \oplus T^{\odot 2} \oplus T^{\odot 3} \oplus \dots$

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We have $\text{val}_{p,0}(h(x)) = \lim_{r \rightarrow \infty} \mu_{r,0} = (\boldsymbol{\mu}_0 \odot T^+)_1$. Thus $\text{val}_{p,0}(h(x)) = -\infty$.

Remarks and Applications

Drifted valuations are used to compute the valuation in case of parameters not in $\mathbb{Z}_{(p)}$.

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One can use the algorithm to compute **evaluations** of hypergeometric functions in the **p -adics**, up to a prescribed precision.

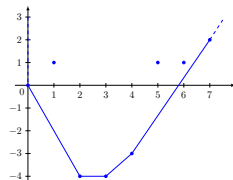
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The algorithm can be extended to the tropical semi-ring of polygons with $\oplus$ representing the convex hull, and $\otimes$ the Minkowski sum, to compute the **Newton polygon** of the hypergeometric series over the p -adics.



State of the Art: Algebraicity

Theorem (Christol 1986; Vargas-Montoya 2021, 2024; Caruso–F.-Vargas-Montoya 2025)

Let $h(x)$ be a hypergeometric function, let p be a large enough prime number, and assume $\text{val}_{p,0}(h(x)) \geq 0$. Then $h(x) \bmod p$ satisfies a polynomial equation of the form

$$A_0(x)h(x) + A_1(x)h(x)^q + \dots + A_w(x)h(x)^{q^w} = 0,$$

where $q = p^\ell$, for some ℓ, w bounded independently of p .

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Algorithm (Caruso – F.)

Given a hypergeometric function $h(x)$ and **any** prime p , with $\text{val}_{p,0}(h(x)) \geq 0$, outputs an annihilating polynomial of $h(x) \bmod p$.

Sections and Dwork Relations of Hypergeometric Functions

r -th section operator:

$$\begin{aligned}\Lambda_r : \quad \mathbb{Q}[[x]] &\rightarrow \mathbb{Q}[[x]] \\ \sum_{k=0}^{\infty} h_k x^k &\mapsto \sum_{k=0}^{\infty} h_{kp+r} x^k.\end{aligned}$$

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Proposition

$$\Lambda_r(h(x)) \equiv_{\times} h_r \cdot \mathcal{H} \left[\begin{matrix} \mathfrak{D}_p(\alpha+r) \\ \mathfrak{D}_p(\beta+r) \end{matrix}; (-p)^{\nu} x \right] \bmod p, \text{ for some } \nu \in \mathbb{Z}.$$

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Thus, $h(x)$ is a $\mathbb{F}_p[x]$ -linear combination of p -th powers of hypergeometric functions, an identity we call **Dwork relation**.

Algebraicity and Annihilating Polynomial

Let X be the smallest set of hypergeometric functions, containing $h(x)$, and “closed under taking Dwork relations”.

Proposition

X is finite.

Let $n := |X|$. The Dwork relations for all elements of X give n polynomial congruence relations between n hypergeometric functions. By taking appropriate powers, one can eliminate all of them but $h(x)$ to obtain an annihilating polynomial of $h(x) \bmod p$.

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The resulting polynomial will be of the shape

$$A_0(x)f(x) + A_1(x)f(x)^q + \dots + A_w(x)f(x)^{q^w} = 0,$$

for $q = p^\ell$, and some explicit $w, \ell \in \mathbb{N}$ that can be read off the Dwork relations.

Example

We determine an annihilating polynomial modulo $p = 17$ for $h(x)$:

$$h(x) = \mathcal{H}\left[\begin{array}{c} \frac{1}{9}, \frac{4}{9}, \frac{5}{9} \\ \frac{1}{3}, 1, 1 \end{array}; x\right]. \quad \text{Set } h_1(x) := \mathcal{H}\left[\begin{array}{c} \frac{4}{9}, \frac{5}{9}, \frac{8}{9} \\ \frac{2}{3}, 1, 1 \end{array}; x\right], \quad h_2(x) := \mathcal{H}\left[\begin{array}{c} \frac{4}{9}, \frac{5}{9}, \frac{10}{9} \\ \frac{4}{3}, 1, 1 \end{array}; x\right].$$

Then $X = \{h(x), h_1(x), h_2(x)\}$, as the Dwork relations read

$$\begin{aligned} h(x) &\equiv (5x^7 + 12x^6 + 12x^5 + 5x^4 + 6x^3 + 6x^2 + 4x + 1) \cdot h_1(x)^{17}, \\ h_1(x) &\equiv (16x + 1) \cdot h(x)^{17} + (12x^7 + 12x^6) \cdot h_2(x)^{17}, \\ h_2(x) &\equiv (13x^7 + 7x^6 + 9x^5 + 9x^4 + 10x^3 + 9x^2 + 10x + 1) \cdot h_1(x)^{17}. \end{aligned}$$

We obtain $A(x)h(x)^{17^2} + B(x)h(x) \equiv 0$, where $A(x)$ has degree 990 and $B(x)$ has degree 864.

The End

Thank you for your attention!

```
sage: S.<x> = GF(19)[  
sage: f = hypergeometric([1/9, 4/9, 5/9], [1/3, 1], x)  
sage: %time f.annihilating_ore_polynomial()  
CPU times: user 128 ms, sys: 3.74 ms, total: 131 ms  
Wall time: 172 ms  
(18*x^76 + 13*x^57 + 6*x^38 + 17*x^19 + 12)*Frob^2 + (12*x^38 + 11*x^32 + 10*x^31 + 7*x^30 + 17*x^29 + 6  
*x^28 + x^27 + 16*x^26 + 9*x^25 + 11*x^24 + 5*x^23 + 4*x^22 + 7*x^21 + 17*x^20 + 9*x^19 + x^18 + 16*x^17  
+ 9*x^16 + 11*x^15 + 5*x^14 + 18*x^13 + 18*x^12 + 7)*Frob + x^30 + 16*x^29 + 9*x^28 + 11*x^27 + 5*x^26  
+ 4*x^25 + 7*x^24 + 17*x^23 + 6*x^22 + x^21 + 16*x^20 + 9*x^19 + 11*x^18 + 5*x^17 + 4*x^16 + 7*x^15 + 17  
*x^14 + 6*x^13 + x^12
```

Xavier presents our SageMath implementation tomorrow at 11 a.m.